# House Resolution 12 - Introduced

# HOUSE RESOLUTION NO. 12 BY COMMITTEE ON ETHICS (SUCCESSOR TO HSB 13)

- 1 A Resolution relating to the rules governing lobbyists
- 2 in the House of Representatives.
- 3 BE IT RESOLVED BY THE HOUSE OF REPRESENTATIVES,
- 4 That the House Rules Governing Lobbyists shall be as
- 5 follows:
- 6 HOUSE RULES GOVERNING LOBBYISTS
- 7 l. DEFINITIONS OF TERMS. As used in these
- 8 rules, "client", "gift", "immediate family member",
- 9 "lobbyist", and "person" have the meanings provided
- 10 in section 68B.2 of the Code, except that the terms
- 11 "lobbyist" and "client" shall only refer to persons
- 12 who are lobbyists or clients of lobbyists of the house
- 13 of representatives. Except as otherwise provided,
- 14 "employee of the house" means a full-time permanent
- 15 paid employee of the house of representatives.
- 16 2. REGISTRATION REQUIRED.
- 17 a. All lobbyists shall, on or before the day their
- 18 lobbying activity begins, register in the manner
- 19 provided under section 68B.36 of the Code. Lobbyist
- 20 registration forms shall be available in the office of
- 21 the chief clerk of the house.
- 22 b. In addition each registered lobbyist shall file
- 23 with the chief clerk of the house a statement of the
- 24 general subjects of legislation in which the lobbyist
- 25 is or may be interested, the file number of the bills
- 26 and resolutions and the bill number of study bills,
- 27 if known, which will be lobbied, whether the lobbyist

- 1 intends to lobby for or against each bill, resolution,
- 2 or study bill, if known, and on whose behalf the
- 3 lobbyist is lobbying the bill, resolution, or study
- 4 bill. A lobbyist filing a declaration for a bill,
- 5 resolution, or study bill may also submit a position
- 6 statement with the declaration explaining the position
- 7 of the lobbyist's client on the bill, resolution, or
- 8 study bill. The chief clerk of the house shall include
- 9 in the electronic bill declaration system a method for
- 10 the submission of position statements by lobbyists.
- 11 Any change in or addition to the information
- 12 required by this rule shall be registered with the
- 13 chief clerk of the house within ten days from the time
- 14 the change or addition is known to the lobbyist.
- 15 c. Beginning with lobbyist registration for the
- 16 2012 session of the Eighty-fourth General Assembly,
- 17 lobbyist registration shall include an affirmation by
- 18 the lobbyist regarding compliance with rule 16.
- 19 d. A lobbyist who represents the position of a
- 20 state government agency, in which the person serves
- 21 or is employed as the designated representative for
- 22 purposes of encouraging the passage or defeat of
- 23 legislation, shall comply with rule 20 of the house
- 24 rules.
- 25 3. CANCELLATION OF REGISTRATION. If a lobbyist's
- 26 service on behalf of a particular employer, client, or
- 27 cause is concluded after the lobbyist registers but
- 28 before the first day of the next legislative session,
- 29 the lobbyist shall cancel the registration in the
- 30 manner required under section 68B.36 of the Code. Upon

- 1 cancellation of registration, a person is prohibited
- 2 from engaging in any lobbying activity on behalf
- 3 of that particular employer, client, or cause until
- 4 reregistering and complying with the requirements of
- 5 section 68B.36 of the Code.
- 6 4. AMENDMENT OF REGISTRATION. If a registered
- 7 lobbyist represents more than one employer, client,
- 8 or cause and the lobbyist's services are concluded
- 9 on behalf of a particular employer, client, or cause
- 10 after the lobbyist registers but before the first day
- 11 of the next legislative session, the lobbyist shall
- 12 file an amendment to the lobbyist's registration
- 13 indicating which employer, client, or cause is no
- 14 longer represented by the lobbyist and the date upon
- 15 which the representation concluded.
- 16 If a lobbyist is retained by one or more additional
- 17 employers, clients, or causes after the lobbyist
- 18 registers but before the first day of the next
- 19 legislative session, the lobbyist shall file an
- 20 amendment to the lobbyist's registration indicating the
- 21 employer, client, or cause to be added and the date
- 22 upon which the representation begins.
- 23 Amendments to a lobbyist's registration regarding
- 24 changes which occur during the time that the general
- 25 assembly is in session shall be filed within one
- 26 working day after the date upon which the change in
- 27 the lobbyist's representation becomes effective.
- 28 Amendments regarding changes which occur when the
- 29 general assembly is not in session shall be filed
- 30 within ten days after the date upon which the change in

- 1 the lobbyist's representation becomes effective.
- 2 5. PUBLIC ACCESS. All information filed by a
- 3 lobbyist or a client of a lobbyist under chapter 68B
- 4 of the Code is a public record and open to public
- 5 inspection at any reasonable time.
- 6 6. CHARGE ACCOUNTS. Lobbyists and the clients
- 7 they represent shall not allow members of the house
- 8 to charge any amounts or items to a charge account to
- 9 be paid for by those lobbyists or by the clients they
- 10 represent.
- 11 7. ACCESS TO HOUSE FLOOR. Lobbyists shall only be
- 12 permitted on the floor of the house pursuant to rule 20
- 13 of the rules of the house rules.
- 14 8. FEE OR BONUS PROHIBITED. A fee or bonus shall
- 15 not be paid to any lobbyist with reference to any
- 16 legislative action that is conditioned wholly or in
- 17 part upon the results attained by the lobbyist.
- 9. OFFERS OF ECONOMIC OR INVESTMENT OPPORTUNITY. A
- 19 lobbyist, employer, or client of a lobbyist shall not
- 20 offer economic or investment opportunity or promise
- 21 of employment to any member of the house with intent
- 22 to influence conduct in the performance of official
- 23 duties.
- 24 10. PERSONAL OR FINANCIAL OBLIGATION. A lobbyist
- 25 shall not do anything with the purpose of placing
- 26 a member of the house under personal or financial
- 27 obligation to a lobbyist or a lobbyist's principal or
- 28 agent.
- 29 11. ATTEMPTS TO CREATE ADDITIONAL EMPLOYMENT. A
- 30 lobbyist shall not cause or influence the introduction

- 1 of any bill or amendment for the purpose of being
- 2 employed to secure its passage or defeat.
- 3 12. CAMPAIGN SUPPORT. A lobbyist shall not
- 4 influence or attempt to influence a member's actions
- 5 by the promise of financial support for the member's
- 6 candidacy or threat of financial support for an
- 7 opposition candidate. A lobbyist shall not make a
- 8 campaign contribution to a member or to a member's
- 9 candidate's committee during the time that the general
- 10 assembly is in session.
- 11 13. COMMUNICATION WITH MEMBER'S EMPLOYER
- 12 PROHIBITED. A lobbyist shall not communicate with a
- 13 member's employer for the purpose of influencing a vote
- 14 of the member.
- 15 14. EXCESS PAYMENTS. A lobbyist shall not pay or
- 16 agree to pay to a member a price, fee, compensation,
- 17 or other consideration for the sale or lease of
- 18 any property or the furnishing of services which is
- 19 substantially in excess of that which other persons in
- 20 the same business or profession would charge in the
- 21 ordinary course of business.
- 22 15. PROHIBITION AGAINST GIFTS. A lobbyist or
- 23 client of a lobbyist shall not, directly or indirectly,
- 24 offer or make a gift or series of gifts to any member
- 25 or full-time permanent employee of the house or the
- 26 immediate family members of a member or full-time
- 27 permanent employee of the house except as otherwise
- 28 provided in section 68B.22 of the Code. A lobbyist
- 29 or client of a lobbyist who intends or plans to give
- 30 a nonmonetary item, other than food or drink consumed

- l in the presence of the donor, which does not have a
- 2 readily ascertainable value, to a member or full-time
- 3 permanent employee of the house, prior to giving or
- 4 sending the item to the member or employee, shall seek
- 5 approval of the item from the chief clerk of the house.
- 6 A lobbyist or client of a lobbyist who seeks approval
- 7 of an item from the chief clerk shall submit the item
- 8 and evidence of the value of the item at the time that
- 9 approval is requested.
- 10 16. GIFT LAW REQUIREMENTS. A lobbyist shall inform
- 11 each of the lobbyist's clients of the requirements of
- 12 section 68B.22 of the Code and of the responsibility to
- 13 seek approval prior to giving or sending a nonmonetary
- 14 item which does not have a readily ascertainable value
- 15 to a member or a full-time permanent employee of the
- 16 house.
- 17 16. 17. FINANCIAL TRANSACTIONS. A lobbyist shall
- 18 not, directly or indirectly, make a loan to a member of
- 19 the house or to an employee of the house.
- 20 A loan prohibited under this section does not
- 21 include a loan made in the ordinary course of business
- 22 of a lobbyist if the primary business of the lobbyist
- 23 is something other than lobbying, if consideration of
- 24 equal or greater value is received by the lobbyist,
- 25 and if fair market value is given or received for the
- 26 benefit conferred.
- 27 18. HONORARIA RESTRICTIONS. A lobbyist
- 28 or client of a lobbyist shall not pay an honorarium
- 29 to a member or employee of the house for a speaking
- 30 engagement or other formal public appearance in the

- 1 official capacity of the member or employee except as
- 2 otherwise provided in section 68B.23 of the Code.
- 3 18. 19. COMPLAINTS. The procedures for complaints
- 4 and enforcement of these rules shall be the same as
- 5 those provided in the house code of ethics.
- 6 19. 20. PROCEDURES AND FORMS. The chief clerk of
- 7 the house, subject to the approval of the house ethics
- 8 committee, shall prescribe procedures for compliance
- 9 with these rules, and shall prepare forms for the
- 10 filing of complaints and make them available to any
- 11 person.